Form NLRB - 501 (3-21)

NATIONAL LABOR RELATIONS BOARD

CHARGE AGAINST EMPLOYER

File an original with NLRB Regional Director for the region in which the alleged unfair labor practice occurred or is occurring.

INSTRUCTIONS:

UNITED STATES OF AMERICA DO NOT WRITE IN THIS SPACE Date Filed

27-CA-294617

4/21/2022

1. EMF	PLOYER AGAINST WHOM CHARGE IS BROU	GHT
a. Name of Employer Starbucks Corporation		b. Tel. No. (719)593-1481
		c. Cell No.
d. Address (Street, city, state, and ZIP code) e. 4825 N Academy Blvd,	Employer Representative (b) (6), (b) (7)(C)	f. Fax No.
Colorado Springs, CO 80918		g. e-mail
		h. Number of Workers Employed
i. Type of Establishment (factory, mine, j. l	Identify Principal Product or Service	
wholesaler, etc.)		
Coffee shop	ood and beverage	
I. The above-named employer has engaged in and is enthe National Labor Relations Act, and these unfair labor labor practices are practices affecting commerce within	or practices are practices affecting commerce w	rithin the meaning of the Act, or these unfair
2. Basis of the Charge (set forth a clear and concise st	tatement of the facts constituting the alleged un	fair labor practices)
In the past six months, the Employer has		
rights protected by Section 7 of the Act by		on for their protected activities and in
order to discourage union activities and m	lembership.	
3 Full name of party filing charge (if labor organization		ber)
(a. Address (Street and number, city, state, and ZIP condition (b) (6), (b) (7)(C)	ode)	4b. Tel. No. (b) (6), (b) (7)(C)
		4c. Cell No.
		4d. Fax No.
		4e. e-mail (b) (6), (b) (7)(C)
5. Full name of national or international labor organization	tion of which it is an affiliate or constituent unit (to be filled in when charge is filed by a labor
organization)		
6. DECLARA		Tel. No.
I declare that I have read the above charge and that the statements are true to the best of my		(b) (6), (b) (7)(C)
(b) (6), (b) (7)(C) ^{nowledge and belief.} (b) (6), (b) (7)(C)		Office, if any, Cell No.
aking charg	(Print/type name and title or office, if any)	Fax No.
(b) (6), (b) (7)(C	Date: 4/21/22	(b) (6), (b) (7)(C)

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.

(b) (6), (b) (7)(C) NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.



Download

REGION 27 Byron Rogers Federal Office Building 1961 Stout Street, Suite 13-103 Denver, CO 80294

Agency Website: www.nlrb.gov Telephone: (303)844-3551 Fax: (303)844-6249 Download NLRB Mobile App

April 25, 2022

(b) (6), (b) (7)(C)

Starbucks Corporation 4825 N Academy Blvd. Colorado Springs, CO 80918

> Re: Starbucks Corporation Case 27-CA-294617

Dear (b) (6), (b) (7)(C):

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney ISABEL C. SAVELAND whose telephone number is (720)598-7416. If this Board agent is not available, you may contact Supervisory Field Examiner MATTHEW S. LOMAX whose telephone number is (720)598-7405.

<u>Right to Representation</u>: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, www.nlrb.gov, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor requests to limit our use of position statements or evidence. Specifically, any material you submit may be introduced as evidence at a hearing before an administrative law judge regardless of claims of confidentiality. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Further, the Freedom of Information Act may require that we disclose position statements or evidence in closed cases upon request, unless an exemption applies, such as those protecting confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence:</u> All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not

have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, www.nlrb.gov or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

PAULA S. SAWYER Regional Director

Paule S. Samper

Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire



Download

REGION 27 Byron Rogers Federal Office Building 1961 Stout Street, Suite 13-103 Denver, CO 80294

Agency Website: www.nlrb.gov Telephone: (303)844-3551 Fax: (303)844-6249 Download NLRB Mobile App

April 25, 2022



Re: Starbucks Corporation Case 27-CA-294617

Dear (b) (6), (b) (7)(C)

The charge that you filed in this case on April 21, 2022 has been docketed as case number 27-CA-294617. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney ISABEL C. SAVELAND whose telephone number is (720)598-7416. If this Board agent is not available, you may contact Supervisory Field Examiner MATTHEW S. LOMAX whose telephone number is (720)598-7405.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, www.nlrb.gov, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board

agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

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We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

PAULA S. SAWYER

Paule S. Sanger

Regional Director

FORM NLRB-501 (3-21)

UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD First Amended CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE	
Case 27-CA-294617	Date Filed 5/5/2022

N S	TDI	JCT	INI	NIQ.
14.0	1176	, ,		¥

1. EMPL	OYER AGAINST WHOM CHARGE IS BROUGHT	
a. Name of Employer Starbucks Corporation		b. Tel. No. 719-593-1481
		c. Cell No.
		f. Fax. No.
d. Address (Street, city, state, and ZIP code) 4825 N. Academy Blvd Colorado Springs, CO 80918	(b) (6), (b) (7)(C)	g. e-mail
		h. Number of workers employed
. Type of Establishment (lactory, mine, wholesaler, etc.) Coffee shop	j. Identify principal product or service Coffee and food	
The above-named employer has engaged in and is enga	ging in unfair labor practices within the meaning of	section 8(a), subsections (1) and
list subsections)		Labor Relations Act, and these unfair labor
practices are practices affecting commerce within the me	aning of the Act, or these unfair labor practices are	practices affecting commerce within the
meaning of the Act and the Postal Reorganization Act.		
2. Basis of the Charge (set forth a clear and concise state. In the past six months the Employer has engaged interfere with employees' Section 7 rights:	n the following coercive and discriminatory	behavior in an effort to discourage and
Reduction of employees' work hours, holding a ca promised employees benefits if they did not vote f employees with discipline for engaging in union a	or the union, removed union literature from to ctivity, and held coercive mandatory one on	the employee bulletin board, threatened
 Full name of party filing charge (if labor organization, g (b) (6), (b) (7)(C) 	ive full name, including local name and number)	
(a) Address (Street and number city, state, and ZIP code (b) (6), (b) (7)(C)	e)	4b. Tel. No.
		4c. Cell No. (b) (6), (b) (7)(C)
		4d. Fax No.
		(b) (6), (b) (7)(C)
 Full name of national or international labor organization 	n of which it is an affiliate or constituent unit (to be	filled in when charge is filed by a labor organization
I declare that I have read the abo	ARATION ove charge and that the statements	(b) (6), (b) (7)(C)
(b) (6), (b) $(7)(C)^{e \text{ best of r}}$	ny knowledge and belief (b) (6), (b) (7)(C) an individual	Office, if any, Cell No.
charge)	(Print/type name and litle or office, if any)	Fax No.
Address (b) (6), (b) (7)(C)	Date 5/5/22	(b) (6), (b) (7)(C)

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May 6, 2022

(b) (6), (b) (7)(C) Starbucks Corporation 4825 N Academy Blvd.

4825 N Academy Blvd.
Colorado Springs, CO 80918

Re: Starbucks Corporation Case 27-CA-294617

Dear (b) (6), (b) (7)(C):

Enclosed is a copy of the first amended charge that has been filed in this case.

<u>Investigator</u>: This charge is being investigated by Field Attorney ISABEL C. SAVELAND whose telephone number is (720)598-7416. If the agent is not available, you may contact Supervisory Field Examiner MATTHEW S. LOMAX whose telephone number is (720)598-7405.

<u>Presentation of Your Evidence</u>: As you know, we seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations in the first amended charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

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<u>Procedures</u>: Pursuant to Section 102.5 of the Board's Rules and Regulations, parties must submit all documentary evidence, including statements of position, exhibits, sworn statements, and/or other evidence, by electronically submitting (E-Filing) them through the Agency's web site (www.nlrb.gov). You must e-file all documents electronically or provide a

written statement explaining why electronic submission is not possible or feasible. Failure to comply with Section 102.5 will result in rejection of your submission. The Region will make its determination on the merits solely based on the evidence properly submitted. All evidence submitted electronically should be in the form in which it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format). If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge.

If the Agency does not issue a formal complaint in this matter, parties will be notified of the Regional Director's decision by email. Please ensure that the agent handling your case has your current email address.

Very truly yours,

PAULA S. SAWYER Regional Director

Paule S. Samper

Enclosure: Copy of first amended charge

cc: Brittany L. Stepp, Attorney at Law Adam-Paul Tuzzo, Attorney at Law Littler Mendelson, PC Three Parkway 1601 Cherry St., Ste. 1400 Philadelphia, PA 19102

> Monica Sislak, Attorney at Law Littler Mendelson PC Key Tower 127 Public Square Suite 1600 Cleveland, OH 44114



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REGION 27 Byron Rogers Federal Office Building 1961 Stout Street, Suite 13-103 Denver, CO 80294

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May 6, 2022



Re: Starbucks Corporation Case 27-CA-294617

Dear (b) (6), (b) (7)(C)

We have docketed the first amended charge that you filed in this case.

<u>Investigator</u>: This charge is being investigated by Field Attorney ISABEL C. SAVELAND whose telephone number is (720)598-7416. If the agent is not available, you may contact Supervisory Field Examiner MATTHEW S. LOMAX whose telephone number is (720)598-7405.

<u>Presentation of Your Evidence</u>: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. If you have additional evidence regarding the allegations in the first amended charge and you have not yet scheduled a date and time for the Board agent to obtain that evidence, please contact the Board agent to arrange to present that evidence. If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed.

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If the Agency does not issue a formal complaint in this matter, parties will be notified of the Regional Director's decision by email. Please ensure that the agent handling your case has your current email address.

Very truly yours,

PAULA S. SAWYER Regional Director

Paule S. Sanger

NATIONAL LABOR RELATIONS BOARD

NOTICE OF APPEARANCE

	1
Workers United	
and	GLGE 07 04 004047
Starbucks Corporation	CASE 27-CA-294617
	EXCLUSIVE SERVICE
EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD Washington, DC 20570
THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTAT	IVE OF
Starbucks Corporation	
Stanzasta Golporation	
IN THE ABOVE-CAPTIONED MATTER.	
CHECK THE ADDRODDIATE DOV/ES) DELOW.	
CHECK THE APPROPRIATE BOX(ES) BELOW: REPRESENTATIVE IS AN ATTORNEY	
☐ IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE TO CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY V DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMEN CASEHANDLING MANUAL.	ADDITION TO THOSE DESCRIBED BELOW, THIS WILL RECEIVE ONLY COPIES OF CERTAIN
(REPRESENTATIVE INFOR	MATION)
NAME: Adam-Paul Tuzzo	
MAILING ADDRESS: Littler Mendelson, P.C 111 East Kilbourn	Avenue, Suite 1000, Milwaukee, WI 53202
atuzza@littlor.com	
E-MAIL ADDRESS: atuzzo@littler.com	
OFFICE TELEPHONE NUMBER: (414) 978-4606	(444) 204 5526
CELL PHONE NUMBER: (414) 374-3980	_FAX: (414) 291-5526
signature: /s/ Adam-Paul Tuzzo	
(Please sign in ink.) DATE: 04/27/2022	
<u>-</u>	

 $^{^{\}rm 1}$ IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.

NATIONAL LABOR RELATIONS BOARD

NOTICE OF APPEARANCE

	-
Workers United	
and Starbucks Corporation	CASE 27-CA-294617 EXCLUSIVE SERVICE
X REGIONAL DIRECTOR EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD Washington, DC 20570
THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTATE Starbucks Corporation	IVE OF
IN THE ABOVE-CAPTIONED MATTER.	
CHECK THE APPROPRIATE BOX(ES) BELOW: REPRESENTATIVE IS AN ATTORNEY IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE TO CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY VI DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMEN CASEHANDLING MANUAL.	ADDITION TO THOSE DESCRIBED BELOW, THIS WILL RECEIVE ONLY COPIES OF CERTAIN
(REPRESENTATIVE INFORM	MATION)
NAME: Monica D. Sislak	
MAILING ADDRESS: Littler Mendelson, P.C Key Tower 127 Pu	ıblic Square, Suite 1600, Cleveland, OH
E-MAIL ADDRESS: MSislak@littler.com	
OFFICE TELEPHONE NUMBER: 216-623-6140	
CELL PHONE NUMBER: 216-327-5726	_{FAX:} 216-649-0526
SIGNATURE: /s/ Monica D. Sislak (Please sign in ink.) DATE: 04/27/2022	

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NATIONAL LABOR RELATIONS BOARD

NOTICE OF APPEARANCE

Workers United	
and Starbucks Corporation	CASE 27-CA-294617 EXCLUSIVE SERVICE
X REGIONAL DIRECTOR EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD Washington, DC 20570
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(REPRESENTATIVE INFORM	MATION)
NAME: Brittany L. Stepp	
MAILING ADDRESS: Littler Mendelson, P.C Three Parkway, 16 PA 19102	601 Cherry Street, Suite 1400 Philadelphia,
E-MAIL ADDRESS: BStepp@littler.com	
<i>OFFICE TELEPHONE NUMBER:</i> (267) 402-3124	
CELL PHONE NUMBER: (267) 207-5843	_FAX: (267) 402-3131
SIGNATURE: /s/ Brittany L. Stepp (Please sign in ink.) DATE: 04/27/2022	

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